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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/087,407

03/01/2002

Carol L. Thompson

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07/20/2006

HEWLETT-PACKARD COMPANY

Intellectual Property Administration

P.O. Box 272400

Fort Collins, CO 80527-2400

EXAMINER

INGBERG, TODD D

ART UNIT

PAPER NUMBER

2193

DATE MAILED: 07/20/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/087,407	THOMPSON ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Todd Ingberg	2193	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 07 April 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 3/1/2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

### **DETAILED ACTION**

Claims 1 – 20 have been examined.

Claims 6 and 16 have been amended.

### ***Specification***

1. The new abstract has been entered.
2. The new title has been entered.

### ***Claim Rejections - 35 USC § 101***

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

4. Claims 1 – 10 and 16 - 20 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. The current focus of the Patent Office in regard to statutory inventions under 35 U.S.C. § 101 for method claims and claims that recite a judicial exception (software) is that the claimed invention recite a practical application. Practical application can be provided by a physical transformation or a useful, concrete and tangible result. No physical transformation is recited and additionally, the final result of the claim is an optimization which is not a tangible result because the result is not stored on a computer readable medium. The following link on the World Wide Web is for the United States Patent And Trademark Office (USPTO) policy on 35 U.S.C. §101.

[http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/guidelines101\\_20051026.pdf](http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/guidelines101_20051026.pdf)

To no fault of applicant the rejection under 35 U.S.C. 101 has not been overcome. The new focus of the Office is described in the link above. The result being tangibly embodied is the focus.

***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

6. Claims 1, 6 and 11 rejected under 35 U.S.C. 102(b) as being anticipated by Robert Jacob's teaching of "A State Transition Diagram Language for Visual Programming, August 1985, referred to as VP.

**Claim 1**

VP anticipates a method for providing a graphic representation of code characteristics, the method comprising: acquiring a block of code in a program; analyzing the block of code for at least one instruction characteristic; generating a unique graphical indicator for the at least one instruction characteristic; and displaying the unique graphical indicator with the block of code to indicate that the at least one instruction characteristic is present in the block of code.

Examiner's Response

VP anticipates a method for providing a graphic representation of code characteristics (See page 93, figure 1 and associated text), each with unique ID (Page 93, Fig 1 and each label). Block of code (page 94, Figure 2).

**Claim 6**

VP anticipates a system for providing a graphic representation of code characteristics, comprising: means for acquiring a block of code in a program; means for analyzing the block of code for at least one instruction characteristic; means for generating a unique graphical indicator for the at least one instruction characteristic; and means for displaying the unique graphical indicator with the block of code to indicate that the at least one instruction characteristic is present in the block of code.

Examiner's Response

As per claim 1.

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**Claim 11**

VP anticipates a computer readable medium for a graphic representation of code characteristics tangibly embodied on a computer readable medium, comprising: logic for acquiring a block of code in a program; logic for analyzing the block of code for at least one instruction characteristic; logic for generating a unique graphical indicator for the at least one instruction characteristic; and logic for displaying the unique graphical indicator with the block of code to indicate that the at least one instruction characteristic is present in the block of code.

Examiner's Response

As per claim 1.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claims 1 – 20 are rejected under 35 U.S.C. 103(e) as being unpatentable over Robert

Jacob's teaching of "A State Transition Diagram Language for Visual Programming, August

1985, referred to as VP in view of TMS320C6X Optimizing Compiler User's Guide, Texas

Instrument 2000 (Called TI).

**Claim 1**

VP teaches a environment to support visual debugging and optimization but VP does not teach the underlying theory of compiler optimization. It is TI who teaches compiler optimization. Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to combine VP and TI, because visual debuggers and optimization make development environments easier to use.

**Claim 2**

The method of claim 1, wherein the at least one code characteristic is selected from the group consisting of a user-visible sub-statement instruction, a loop entry instruction, a loop body instruction, dead code instruction, and a data-speculative load instruction.

Examiner's Response

TI teaches at least one a data-speculative load instruction (TI, pages 3-4, 3-4 to 3-11 and Appendix A, pipelining)

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**Claim 3**

The method of claim 1, wherein the unique graphical indicator is selected from the group consisting of text color, background color, a line, an arc, a box and a tag.

Examiner's Response

VP, page 97, Programming a multi-window User Interface. Simulator is the debugger environment and appearance in Figure 7

**Claim 4**

The method of claim 1, wherein the displaying the unique graphical indicator step further comprises: indicating if the at least one instruction characteristic is a loop-carried dependency.

Examiner's Response

Ti, page 3-13

**Claim 5**

The method of claim 1, wherein the displaying the unique graphical indicator step further comprises: indicating if the at least one instruction characteristic is a data-speculative load instruction with at least one possible conflicting store.

Examiner's Response

instruction (TI, pages 3-4, 3-4 to 3-11 and Appendix A, pipelining)

**Claim 7**

The system of claim 6, wherein the at least one code characteristic is selected from the group consisting of a user-visible sub-statement instruction, a loop entry instruction, a loop body instruction, dead code instruction, and a data-speculative load instruction.

Examiner's Response

As per claim 2.

**Claim 8**

The system of claim 6, wherein the unique graphical indicator is selected from the group consisting of text color, background color, a line, an arc, a box and a tag.

Examiner's Response

As per claim 3.

**Claim 9**

The system of claim 6, wherein the displaying means further comprises: means for indicating if the at least one instruction characteristic is a loop-carried dependency.

Examiner's Response

As per claim 4.

**Claim 10**

The system of claim.. 6, wherein the displaying means further comprises: means for indicating if the at least one instruction characteristic is a data speculative load instruction with at least one possible conflicting store.

Examiner's Response

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As per claim 2.

**Claim 12**

The computer readable medium of claim 11, wherein the at least one code characteristic is selected from the group consisting of a user-visible sub-statement instruction, a loop entry instruction, a loop body instruction, dead code instruction, and a data-speculative load instruction.

Examiner's Response

As per claim 2.

**Claim 13**

The computer readable medium of claim 11, wherein the unique graphical indicator is selected from the group consisting of text color, background color, a line, an arc, a box and a tag.

Examiner's Response

As per claim 3.

**Claim 14**

The computer readable medium of claim 11, wherein the displaying logic further comprises: logic for indicating if the at least one instruction characteristic is a loop-carried dependency.

Examiner's Response

As per claim 4.

**Claim 15**

The computer readable medium of claim 11, wherein the displaying logic further comprises:  
logic for indicating if the at least one instruction characteristic is a data speculative load instruction with at least one possible conflicting store.

Examiner's Response

As per claim 5.

**Claim 16**

VP teaches a system for providing a graphic representation of code characteristics tangibly embodied on a computer readable medium, comprising:

a debug tool that indicates instruction characteristics in a program, wherein the debug tool further comprises:

logic for acquiring a block of code in the program;

logic for analyzing the block of code for the at least one instruction characteristic;

logic for generating a unique graphical indicator for the at least one instruction characteristic; and

logic for displaying the unique graphical indicator with the block of code to indicate that the at least one instruction characteristic is present in the block of code.

Examiner's Response

As per claim 1 and TI page 3-29.

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**Claim 17**

The system of claim 16, wherein the at least one code characteristic is selected from the group consisting of a user-visible sub-statement instruction, a loop entry instruction, a loop body instruction, dead code instruction, and a data-speculative load instruction.

Examiner's Response

As per claim 2.

**Claim 18**

The system of claim 16, wherein the unique graphical indicator is selected from the group consisting of text color, background color, a line, an arc, a box and a tag.

Examiner's Response

As per claim 3.

**Claim 19**

The system of claim 16, wherein the displaying logic further comprises: logic for indicating if the at least one instruction characteristic is a loop-carried dependency.

Examiner's Response

As per claim 4.

**Claim 20**

The system of claim 16, wherein the displaying logic further comprises: logic for indicating if the at least one instruction characteristic is a data speculative load instruction with at least one possible conflicting store.

Examiner's Response

As per claim 5.

***Response to Arguments***

9. Applicant's arguments with respect to claims 1 – 20 have been considered but are moot in view of the new ground(s) of rejection. VP is more than just a programming environment. The simulator serves as a debugger and the multiple windows provide text views and diagrams related to the text.

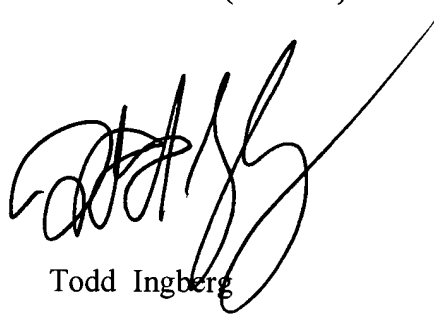


*Correspondence*

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Todd Ingberg whose telephone number is (571) 272-3723. The examiner can normally be reached on during the work week..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kakali Chaki can be reached on (571) 272-3719. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to be 'TI' followed by a stylized flourish.

Todd Ingberg

Primary Examiner

Art Unit 2124